

ch22: Breadth-First Search and Depth-First Search

5 Nov 2013

CMPT231

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Outline for today

- Huffman coding
- Graph algorithms
 - Breadth-first search
- Depth-first search
 - Parenthesis structure
 - Edge classification
 - Topological sort
 - Finding strongly-connected components

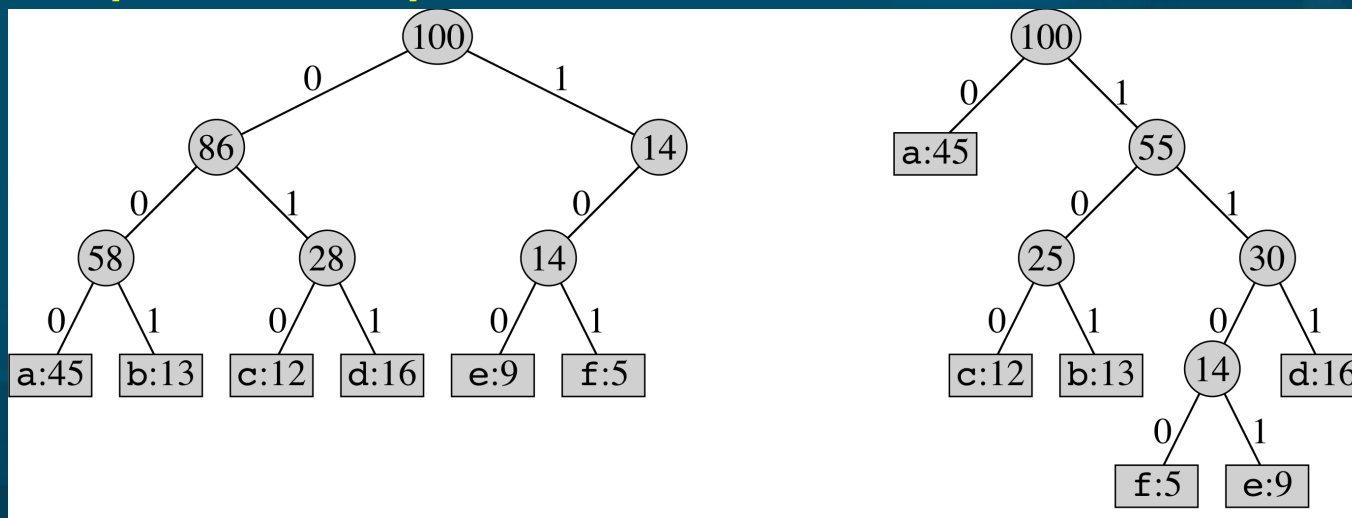
Encoding

| | a | b | c | d | e | f |
|--------------------------|-----|-----|-----|-----|------|------|
| Frequency (in thousands) | 45 | 13 | 12 | 16 | 9 | 5 |
| Fixed-length codeword | 000 | 001 | 010 | 011 | 100 | 101 |
| Variable-length codeword | 0 | 101 | 100 | 111 | 1101 | 1100 |

- Given a **text** with a known set of **characters**
 - Encode each character with a binary **codeword**
- **Fixed-length** code: all codewords same length
 - “cafe” \Rightarrow 010 000 101 100
- **Variable-length** code: some codes lower cost
 - “cafe” \Rightarrow 100 0 1100 1101
 - **Compression**: choose shorter codes for more frequent characters
- **Prefix code**: no code is a prefix of another
 - Unique **parsing**; don't need to **delimit** chars
 - “cafe” \Rightarrow 100011001101

Code trees

- Prefix code \Rightarrow **code tree**: binary tree where **nodes** represent **prefixes**; **characters** are at **leaves**
 - **Fixed-length** code \Rightarrow leaves all at **same level**
 - **Decoding** = **walk** down the tree
 - ◆ **Cost** of a char = **depth** in tree
- **Total cost** of encoding a file using a given tree:
 - $\sum_c [\text{freq}(c) * \text{depth}(c)]$



Huffman coding

- Build tree **bottom-up**
 - Start with two **least**-common chars
 - **Merge** to make new subtree with **combined** freq
- **Min-priority queue** manages the greedy choice
- **Input**: array of char nodes with **.freq** attribs

→ def **huffman**(chars):

- **Q** = new MinQueue(chars)
- for i in 1 .. length(chars)-1:
 - **z** = new Node
 - **z.left** = **Q.popmin()**
 - **z.right** = **Q.popmin()**
 - **z.freq** = **z.left.freq** + **z.right.freq**
 - **Q.push(z)**
- return **Q.popmin()**

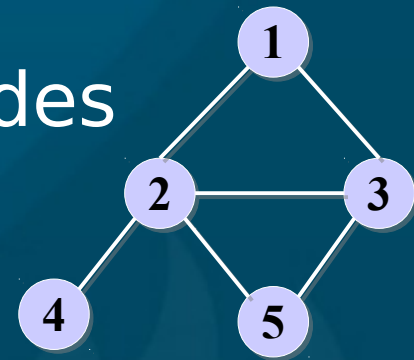
| char | freq |
|------|-----------|
| a | 15 |
| b | 5 |
| c | 9 |
| d | 7 |
| e | 18 |
| f | 10 |

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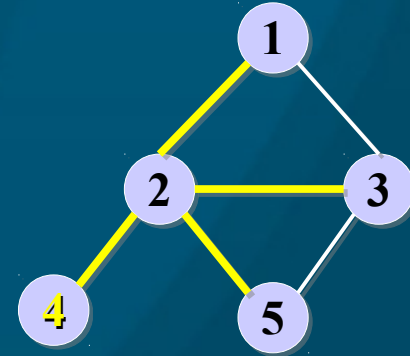
Intro to graph algorithms

- Representing graphs: $G = (V, E)$
 - V : vertices/nodes (e.g., via array or linked-list)
 - E : edges connecting vertices (directed or un)
- Representing edges:
 - Edge list: array/list of (u,v) pairs of nodes
 - Adjacency list: indexed by start node
 - ◆ What about undirected graphs?
 - ◆ How to find (out)-degree of every vertex?
 - Adjacency matrix: $A[i,j]=1$ if (i,j) is an edge
 - ◆ What about undirected graphs?
 - ◆ Weighted graph: $A[i,j]$ not limited to 0/1



Graph traversal: breadth-first

- **Traverse**: visit **each** node exactly **once**
- **BFS**: overlay a **breadth-first tree**
 - **Path** in the tree = **shortest path** from chosen start node
 - **BFS tree** not necessarily **unique**
- **Graph** \neq **tree**: could have **loops**
 - \Rightarrow Need to **track** which nodes we've seen
- Assign **colour**: **white** = unvisited, **grey** = on border (some unvisited neighbours), **black** = no unvisited neighbours
- Use **FIFO** queue to manage **grey** nodes



Breadth-first search: algorithm

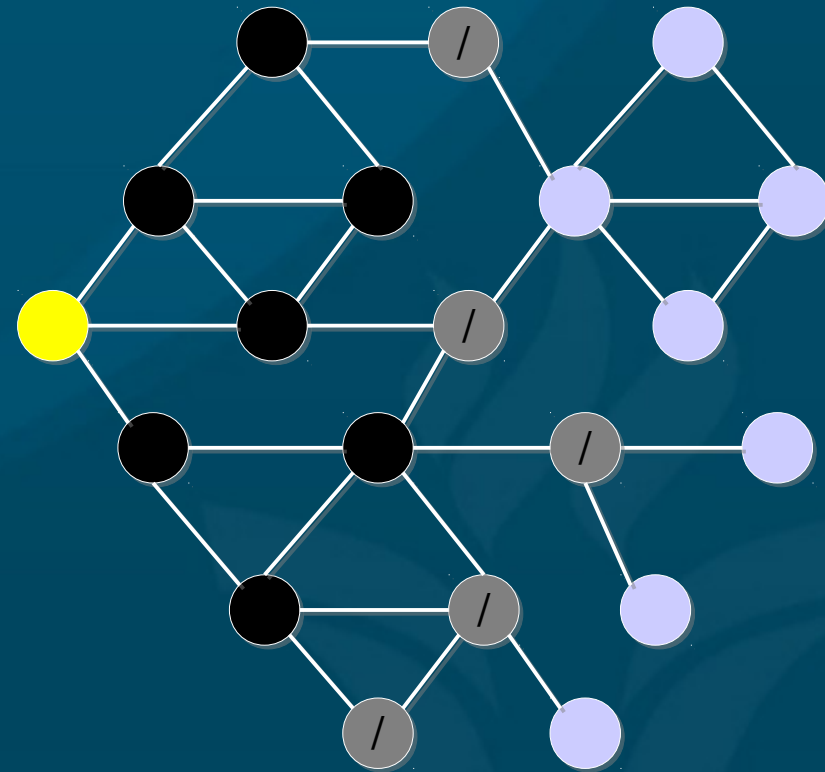
- Input: vertex list, adjacency list (linked lists), start
- Output: modify vertex list to add parent pointers

→ def **BFS**(**V**, **E**, **start**):

v →

- initialise all vertices to be white, with NULL parent
- initialise **start** to be grey
- initialise FIFO: **Q.push(start)**
- while **Q.notempty()**:
 - u = Q.pop()**
 - for each **v** in **E.adj[u]**:
 - if **v.colour == white**:
 - v.colour = grey**
 - v.parent = u**
 - Q.push(v)**
 - u.colour = black**

E



- Complexity: $O(V + E)$

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Depth-first search

- Explore as **deep** as we can first
 - **Backtrack** to explore other paths
 - **Recursive** algorithm
- **Colouring**: **white** = undiscovered
 - **Grey** = discovered
 - **Black** = finished (visited all neighbours)
- Add **timestamps** on **discover** and **finish**
- Overlays a **forest** on the graph
 - **Subtree** at a node = nodes visited between this node's **discovery** and **finish**

Depth-first search: algorithm

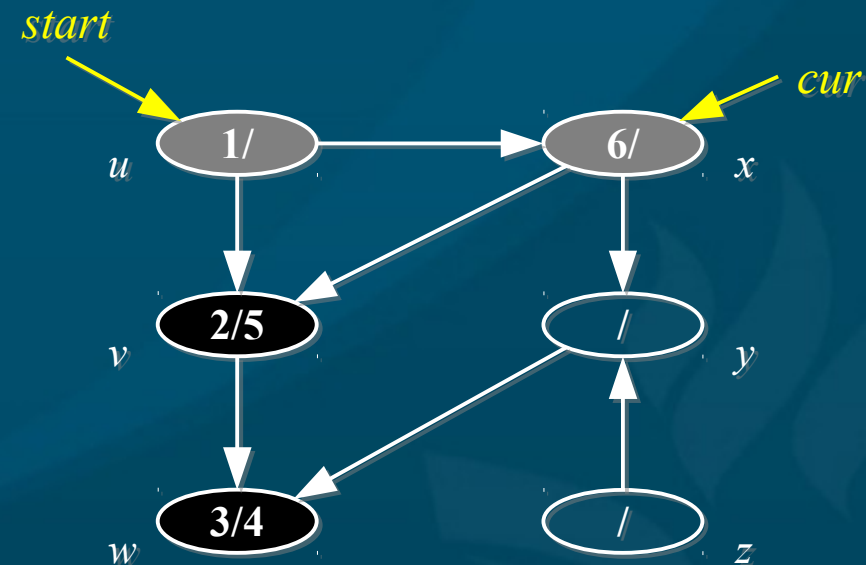
→ def **DFS**(G):

- initialise all **vertices** to be **white**, with **NULL** parent
- **time** = 0
- for **u** in **vertices**:
 - if **u** is **white**: **DFS-Visit**(G, u)

← why not just call *DFS-Visit* once?

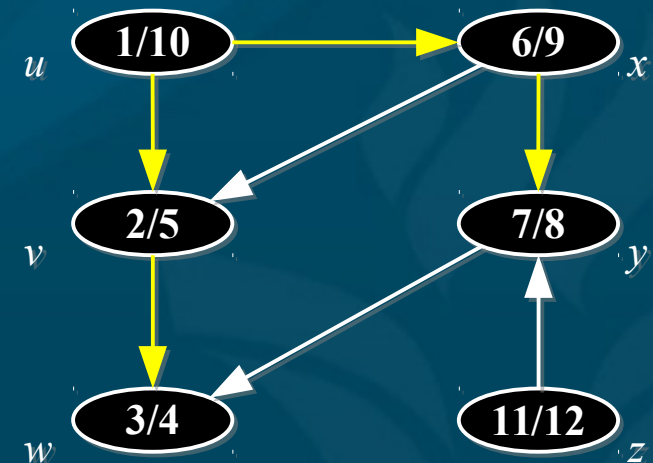
→ def **DFS-Visit**(G,u):

- **time**++
- **u.discovered** = **time**
- **u.colour** = **gray**
- for **v** in **u's neighbours**:
 - if **v** is **white**:
 - **v.parent** = **u**
 - **DFS-Visit**(G, v)
- **u.colour** = **black**
- **time**++
- **u.finished** = **time**



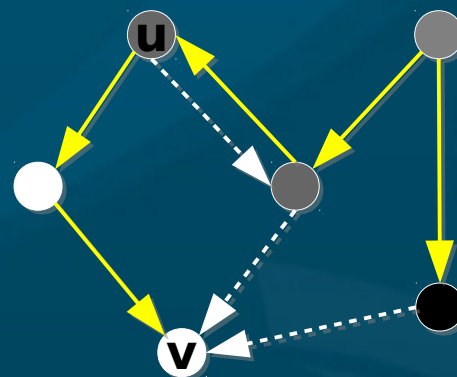
DFS: parenthesis structure

- **Subtree** at a node is visited between the node's **discovery** and **finish** times
- Print a “ $(_u$ ” when we **discover** a node u , and “ $)_u$ ” when we **finish** it:
 - Output will be a valid **parenthesisation**
 - e.g., $(_u (_v (_w)_w)_v (_x (_y)_y)_x)_u (_z)_z$
 - but not: $(_u (_v)_u)_v$
- The (**discover**, **finish**) intervals for two vertices are either:
 - Completely **disjoint**, or
 - One **contained** in the other



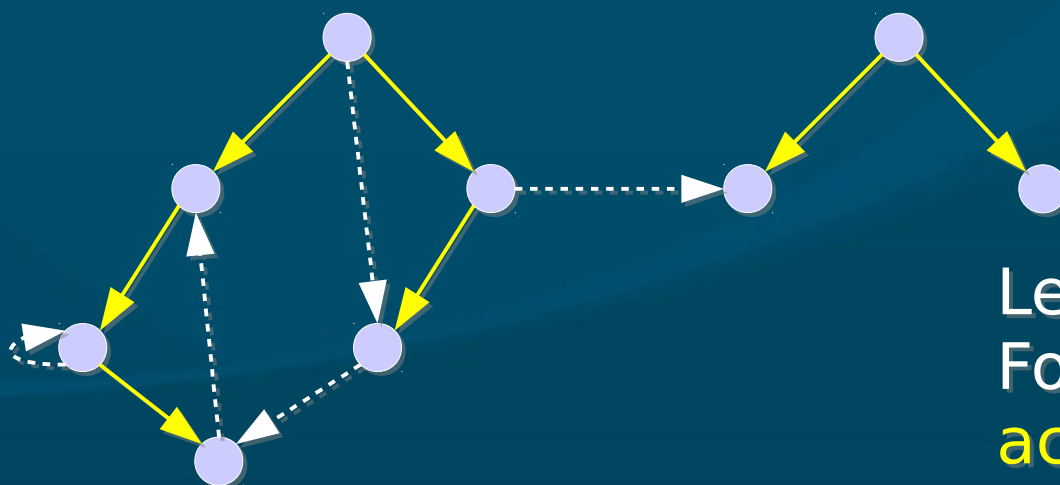
DFS: white-path theorem

- From parenthesis structure: $u.d < v.d < v.f < u.f$
i.e., the (discover, finish) interval for v is **contained** / nested within the interval for u ,
 $\iff v$ is a **descendant** of u in the DFS
- White-path theorem:
 v is a **descendant** of u in the DFS \iff
when u is discovered, there is a **path** from $u \rightarrow v$
with only **white** vertices



DFS for edge classification

- Edges in a graph are either:
 - **Tree** edges: in the **DFS forest**
 - **Back** edges: from a node to an **ancestor** in the same DFS tree (including **self-loop**)
 - **Forward** edges: from a node to a **descendant**
 - **Cross** edges: between nodes in **different subtrees** or different DFS trees



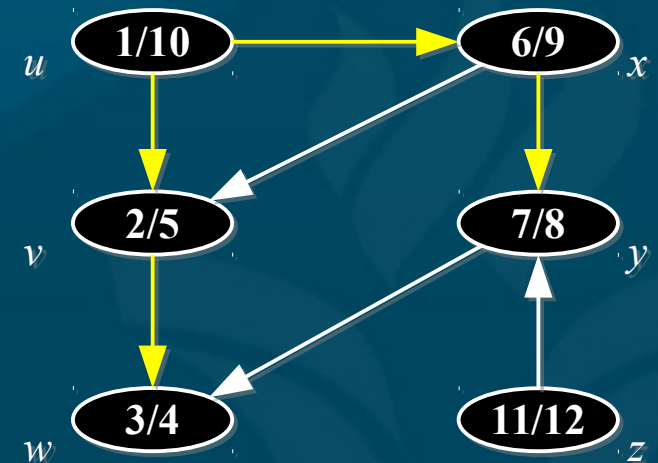
Lemma (22.11):
For directed graphs,
acyclic \iff no **back** edges

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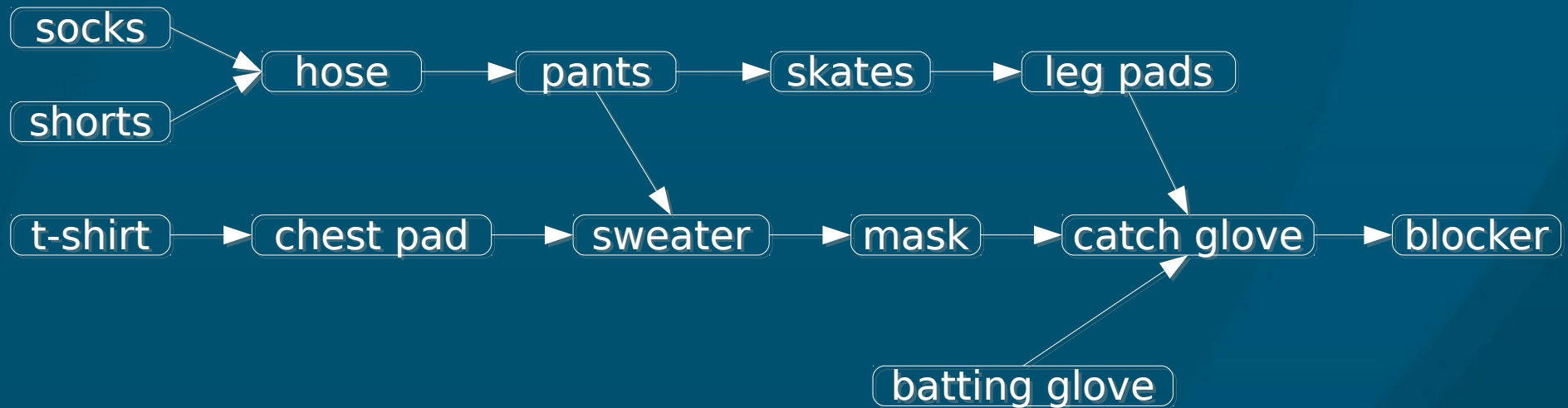
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DFS for topological sort

- Linear **ordering** of vertices such that if $u \rightarrow v$ is an edge, then u comes **before** v in sort
 - Assumes **no cycles!** (**DAG**: directed acyclic)
 - **Applications**: dependency resolution, compiling files, task planning / Gantt chart
- Tweak **DFS**: as each vertex is **finished**, insert it at the **head** of a linked list
 - i.e., sort by **decr finish time**
- e.g.: z, u, x, y, v, w
- DFS might not be **unique**, so topo sort might not be unique



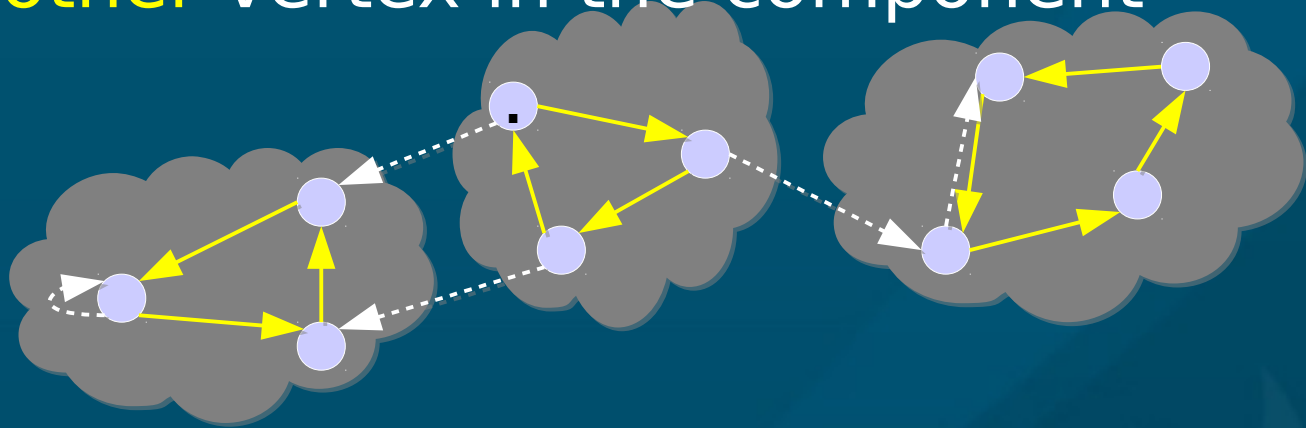
Topo sort: example



- Proof of **correctness**: $(u,v) \in E \Rightarrow v.f < u.f$
- When DFS explores (u,v) , what **colour** is v ?
 - v is **gray**: means v is an **ancestor** of u
 $\Rightarrow (u,v)$ is a **back** edge \Rightarrow graph has a **loop**
 - v is **white**: becomes **child**: $u.d < v.d < v.f < u.f$
 - v is **black**: v **done**, but u not done yet: $v.f < u.f$

DFS for connected components

- Largest **completely-connected** set of vertices:
 - Every **vertex** in the component has a **path** to every **other** vertex in the component



- Algorithm:
 - Compute $DFS(G)$ to find **finishing** times
 - Let G^T (transpose) be G with all edges **reversed**
 - Compute $DFS(G^T)$ starting at vertex with **highest finishing** time from step 1

● Each **tree** in $DFS(G^T)$ is a separate **component**

Connected components

- Original graph G and DFS (DFS tree shaded)

- Transpose graph G^T and $DFS(G^T)$

- Start DFS at highest-finish (b.fin == 16)

- Combine vertices: component graph

